Case 1:14-cv-07575-DLC-RWL Document 343 Filed 08/08/18 Page 1 of 3

Case 1:14-cv-07575-DLC-RWL Document 340 Filed 08/06/18 Page 1 of 3

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-against-

JASON COPE et al.,

Defendants.

UGDC SDNY
DOCUMENT
ELECTRONICALLY FILED
DOC #:
DATE FILED: 88 2018

14 Civ. 7575 (DLC)

[PROPOSED] FINAL JUDGMENT AS TO DEFENDANT TRISH MALONE

Per the Court's Opinion and Order entered on July 31, 2018 (Docket Entry # 335), and the Judgment as to Defendant Trish Malone ("Defendant") entered on October 8, 2015 (Docket Entry # 172), which is adopted and incorporated herein but is effective as of the date of its original entry, Final Judgment is hereby entered against Defendant as follows:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$394,741.24, representing profits gained as a result of the conduct alleged in the Amended Complaint, together with prejudgment interest thereon in the amount of \$81,257.50, and a civil penalty in the amount of \$125,000. Defendant shall satisfy this obligation by paying \$600,998.74 to the Securities and Exchange Commission within 14 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at http://www.sec.gov/about/offices/ofm.htm. Defendant may also pay by certified check, bank

cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center Accounts Receivable Branch 6500 South MacArthur Boulevard Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Trish Malone as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

II.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the terms, conditions, relief, and remedies set forth in the Judgment as to Defendant Trish Malone (Docket Entry # 172) shall remain in full force and effect.

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

Case 1:14-cv-07575-DLC-RWL Document 343 Filed 08/08/18 Page 3 of 3

Case 1:14-cv-07575-DLC-RWL Document 340 Filed 08/06/18 Page 3 of 3

IV.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated: August 8, 2018

UNITED STATES DISTRICT JUDGE